



PRIVACY NOTICE

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information. This notice is provided to you on behalf of Waller Financial Planning Group, Inc.

Information We Collect: In connection with providing investment management, financial planning, and other services, we obtain non-public personal information about you through account applications and other forms. This information could include:

- Your name and address, date of birth, social security number, occupation, financial goals, assets, and income;
- Account balances and account transactions;
- Employment information.

Categories of Parties to Whom We Disclose: We will not disclose information regarding you or your account, except under the following circumstances:

- To your custodian, clearing broker-dealer, investment company, or insurance company in the course of our everyday business in performing investment management and financial planning service;
- To third parties who perform services or marketing on our behalf;
- To your attorney, trustee or anyone else who represents you in a fiduciary capacity;
- To our attorneys, accountants or auditors;
- To government entities or other third parties in response to subpoenas or other legal processes as required by law or to comply with regulatory inquiries;
- We may disclose non-public personal information about you in connection with the transfer of your account to another financial institution at your request.
- We do not sell your personal information.

How We Use Information: Information may be used by your Custodians. They perform support services for us, such as data processors, technical systems consultants, and programmers, such as:

- To protect your accounts from unauthorized access or identity theft;
- To process your requests, such as securities purchases and sales;
- To establish or maintain an account with an unaffiliated third party, such as a clearing broker-dealer providing services to you and/or Waller Financial Planning Group, Inc.;
- To service your accounts, such as issuing checks and account statements;
- To comply with Federal, State, and Self-Regulatory Organization requirements; and

Our Security Policy: We restrict access to non-public personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.

Closed or Inactive Accounts: If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you.

Complaint Notification: Please direct complaints to Waller Financial Planning Group, Inc., 941 Chatham Lane, Suite 212, Columbus, OH 43221, and (614) 457-7026.

Changes to This Privacy Policy: If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please write to Waller Financial Planning Group, Inc., 941 Chatham Lane, Suite 212, Columbus, OH 43221 (614) 457-7026.